



Central Depository Services (India) Limited

Convenient + Dependable + Secure

COMMUNIQUÉ TO DEPOSITORY PARTICIPANTS

CDSL/OPS/DP/POLCY/3804

July 13, 2013

PROCEDURE FOR TRANSACTIONS USING SECURED TEXTING (TRUST) (PAY- IN THROUGH SMS)

CDSL is pleased to introduce a new facility **TRUST** i.e. **TR**ansactions **U**sing **S**ecured **T**exting whereby pay-in transactions from the demat account of the BO will be executed based on receipt of confirmation from the BO through SMS.

FEATURES:

- Execution of pay-in transaction by the Clearing Member (CM) in CDSL system based on receipt of confirmation from the BO vide SMS.
- BO is not required to submit a Delivery Instruction Slip to his DP for market related transactions that would be confirmed by him through TRUST.
- BO may choose to submit POA or continue to have POA registered in addition to getting registered for TRUST.

REGISTRATION PROCEDURE:

To avail this service the BO has to register for this facility by submitting to his DP the following form(s) duly signed by all the holders:

1. Common Registration form for availing SMART (SMS alerts) and /or TRUST facility **(Refer Annexure-17.9a)**.
2. Form for registering CM(s) on whose behalf the securities can be transferred from the account of the BO on the basis of SMS **(Refer Annexure-17.9b)**.

OR

Combined Form for availing SMART and/or TRUST facility and for registering CM(s) on whose behalf the securities can be transferred from the account of the BO on the basis of SMS **(Refer Annexure-17.9c)**.

DPs are advised to note that the detailed process flow for TRUST will be communicated to DPs separately.

DE-REGISTRATION PROCEDURE:

For de-registration from TRUST service the BO will have to submit an application duly signed by all the holders **(Refer Annexure-17.10)**. Alternatively, the BO can use the TRUST application to de-register.



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DPs are required to inform the BOs regarding the said facility. The date of release of the aforesaid facility will be informed shortly.

DPs are advised to note that necessary amendments have been effected in CDSL's DP Operating Instructions under Clause 17.10.4 and annexures of **Chapter 17: Miscellanea** for incorporation of this new facility and the same are attached for ready reference.

1. Chapter 17: Miscellanea (Clause 17.10.4) – Procedure for transactions using TRUST **(refer Annexure-A)**
2. Common Registration Form for availing SMART (SMS Alerts) and / or TRUST facility. **(refer Annexure-17.9a)**
3. Form for registering Clearing Members on whose behalf the securities can be transferred from the account of the BO on the basis of SMS under TRUST facility. **(refer Annexure-17.9b)**
4. Combined Form for availing SMART and/or TRUST facility and for registering CM(s) on whose behalf the securities can be transferred from the account of the BO on the basis of SMS. **(Refer Annexure-17.9c)**
5. De-registration form for TRUST. **(refer Annexure-17.10)**
6. Terms and Conditions. **(refer Annexure-2.6)**

Further, DPs are advised to note that **changes have been incorporated in the Additional KYC Forms for opening a demat account** for (i) enabling BOs to register for TRUST at the time of account opening, (ii) capturing additional information related to mode of receipt of annual report, (iii) sharing of BOs email id with RTAs, (iv) standing instruction in respect of pledge and (v) capturing of PAN and UID of the nominee in the nomination form. The aforesaid amended forms in *track changes mode* are attached as follows:

1. **Annexure-2.1:** Additional KYC Form for Opening a Demat Account (For Individuals)
2. **Annexure-2.2:** Additional KYC Form for Opening a Demat Account (For Non-Individuals)
3. **Annexure-3.2:** Nomination Form

DPs may note that they can continue to use the the old additional KYC forms for opening a demat account and nomination form till stocks last however DPs are advised to ensure that the additional information is sought from the account holder(s) on a separate sheet, duly signed by the account holder(s).



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Queries regarding this communiqué may be addressed to **CDSL – Helpdesk** on (022) 2272-8642, 2272-8427, 2272-8624, 2272-8693, 2272-8639, 2272-1261, or 2272-2075. Emails may be sent to: helpdesk@cdslindia.com.

sd/-

Nayana Ovalekar
Sr. Vice President – Operations

17.10.4. Procedure for Transactions Using Secured Texting (TRUST)**Registration for TRUST**

- a. The DP shall receive a duly filled and signed TRUST Registration form (TRUST Form) in prescribed format or a letter containing all details as specified in the TRUST FORM from the BO desirous of availing service of execution of transactions through SMS. The DP may also receive registration request for TRUST at the time of account opening through Account Opening Form or through such means as may be specified by CDSL. If for some reason the TRUST request for an account fails, the account would be opened without the activation of TRUST facility
- b. The DP shall verify signatures of account holder(s) on the TRUST FORM with signature(s) captured in the CDAS system.
- c. The DP shall check that the mobile number for TRUST facility and SMS alert (SMART) facility is same, if the BO has already been registered for SMART. The DP will then register the said BO ID for TRUST in CDSL system. If the BO is not registered for SMART, the DP shall register him for SMART and TRUST. If the mobile number provided for TRUST is different from the mobile number recorded for SMART, the new mobile number would be updated for SMART as well as TRUST.
- d. The BO has an option to register the eligible clearing members (i.e. those clearing members in whose favour the BO would be delivering securities from his demat account) by providing Clearing Member Name and Stock Exchange details at the time of TRUST registration or at any later date.
- e. The BO who has opted for TRUST can choose to submit Power of Attorney or continue to have the Power of Attorney registered, as the case may be. A POA holder cannot apply for TRUST facility.
- f. After registration of the BO by the DP, the BO will receive a clear text message requesting the BO to download the TRUST application as well as a responsive message on the registered mobile number.
- g. On receipt of positive confirmation from the BO through SMS, the TRUST registration process will be complete and the BO will receive a final confirmation about the successful registration through an informative SMS.
- h. The BO will not be registered for TRUST till a positive confirmation is received by the depository from the BO.
- i. In the event of failure of registration for TRUST, the mobile number of the BO would continue to be registered for SMART.

- j. On receipt of negative confirmation from the BO or non-receipt of positive confirmation within the specified time period, the depository will reject the TRUST registration request and the BO will be informed through an informative SMS about such rejection.
- k. In case of registration of clearing member for TRUST, an informative message will be sent to the BO.
- l. In case a BO registers for, both, TRUST as well as CM registration and the BO TRUST registration fails, then the CM TRUST registration will also fail and an informative message will be sent to the BO.

Modification of mobile number registered for TRUST

- a. On receipt of a request for modification of mobile number from a BO registered for TRUST, the DP shall enter the modification of mobile number. The system will send an informative message about de-registration on old mobile number followed by a responsive message for registration on new mobile number.
- b. The modification for SMS registration will be executed independent of the status of TRUST registration for the new mobile number.

Cancellation of TRUST registration

- a. The BO can cancel its TRUST registration or can de-register a mapped clearing member pertaining to a Stock Exchange using TRUST (mobile application) or by any other mode as informed by CDSL from time to time.
- b. If a BO cancels its TRUST registration for a BOID, all the CMs registered for TRUST through that BOID will stand de-registered.
- c. Alternatively, the DP shall receive a duly filled and signed Deregistration form or a letter containing all details as specified in the Deregistration form from the BO requesting de-registration.
- d. The DP shall verify signatures of account holder(s) on the TRUST De-registration form with signature(s) captured in the CDAS system.
- e. The DP shall enter the De-registration request in the CDSL system.
- f. The BO will receive a responsive message on the registered mobile number.
- g. On receipt of positive confirmation from the BO through SMS or non-receipt of response within specified time period, the de-registration process will be completed and the BO will receive informative message about the successful deregistration.

- h. The BO will continue to be treated as registered for TRUST till the expiry of the message or positive confirmation is received from the BO.
- i. On receipt of negative confirmation from the BO, the CDSL system will reject the de-registration and the BO will continue to be registered for TRUST. The BO will be informed about the cancellation of de-registration through an informative message.
- j. Similar procedure will be followed for de-registration of a mapped clearing member for a particular BOID.

Combined Registration Form for availing SMS Alert and /or TRUST facility and for registering Clearing Members on whose behalf the securities can be transferred from the account of BO on the basis of SMS under TRUST facility

To,

Depository participant name

Address

Dear Sir/Madam,

I/We wish to avail the following facility/ies provided by the depository on my/our mobile number as provided below subject to the terms and conditions as specified by CDSL

- a. SMART-SMS alert facility
- b. TRUST- Transaction using Secured Texting facility

(please note that SMS alert facility is mandatory if TRUST facility is opted for)

BOID

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please write your 8 digit DPID)

(Please write your 8 digit Client ID)

Sole / First Holder's Name :

Second Holder's Name :

Third Holder's Name :

I/We wish to register the following clearing members / IDs under my/our above mentioned BO ID registered for TRUST

Sr. No.	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)

Mobile Number on which messages are to be sent

+91																				
-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

(Existing users registered for SMS alerts:- Please note that if the mobile number for TRUST is different than the registered mobile number for SMS alert, the new mobile number will be updated for SMS alert also.)

The mobile number is registered in the name of:

(Name) _____

Email ID: _____

(Please write only ONE valid email ID on which communication; if any, is to be sent)

I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purpose of availing the said facility.

I/we acknowledge that transactions entered by the above clearing members will be executed on the basis of SMS sent through our registered mobile number under TRUST and I/we shall be wholly responsible for execution / non-execution of the said transactions based on receipt/non-receipt of such SMS.

I/We have read and understood the terms and conditions prescribed by CDSL for the said facility/ies and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

Signatures	_____	_____	_____
	Sole / First Holder	Second holder	Third Holder
Place:	_____		Date: _____

<Reference Number>

De-registration Form for TRUST

To,

Depository participant name

Address

Dear Sir/Madam,

I/we request you to

- a. De-register my BO ID under TRUST /
- b. De-register following clearing member IDs under TRUST

BOID :

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please write your 8 digit DPID)

(Please write your 8 digit Client ID)

Sole / First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Details of Clearing Members to be de-registered

Sr. No.	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID

Signatures _____ Sole / First Holder _____ Second holder _____ Third Holder

Place: _____

Date: _____

Terms And Conditions for availing Transaction Using Secured Texting (TRUST) Service offered by CDSL**1. Definitions:**

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- i. "Depository" means Central Depository Services (India) Limited (CDSL)
 - ii. TRUST means "Transactions Using Secured Texting" service offered by the Depository.
 - iii. "Service Provider" means a cellular service provider(s) with whom the Depository has entered / shall enter into an arrangement for providing the TRUST service to the BO.
 - iv. "Service" means the service of providing facility to receive/give instructions through SMS on best effort basis as per the following terms and conditions. The types of transaction that would normally qualify for this type of service would be informed by CDSL from time to time.
 - v. "Third Party" means the operators with whom the Service Provider is having / will have an arrangement for providing SMS to the BO.
2. The service will be provided to the BO at his / her request and at the discretion of the depository provided the BO has registered for this facility with their mobile numbers through their DP or by any other mode as informed by CDSL from time to time . . . Acceptance of application shall be subject to the verification of the information provided by the BO to the Depository
 3. The messages will be sent on best efforts basis by way of an SMS on the mobile no which has been provided by the BOs. However Depository shall not be responsible if messages are not received or sent for any reason whatsoever, including but not limited to the failure of the service provider or network.
 4. The BO is responsible for promptly informing its DP in the prescribed manner any change in mobile number, or loss of handset on which the BO wants to send/receive messages generated under TRUST. In case the new number is not registered for TRUST in the depository system, the messages generated under TRUST will continue to be sent to the last registered mobile number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of messages sent on such mobile number.
 5. The BO agrees that SMS received by the Depository from the registered mobile number of the BO on the basis of which instructions are executed in the depository system shall be conclusive evidence of such instructions having been issued by the BO. The DP / CDSL will not be held liable for acting on SMS so received.
 6. The BO shall be responsible for submitting response to the 'Responsive SMS' within the specified time period. Transactions for which no positive or negative confirmation is received from the BO, will not be executed except for transaction for deregistration. Further, CDSL shall not be responsible for BOs not submitting the response to the said SMS within the time limit prescribed by CDSL.
 7. The BO agrees that the signing of the TRUST registration form by all joint holders shall mean that the instructions executed on the basis of SMS received from the registered mobile for TRUST shall be deemed to have been executed by all joint holders.
 8. The BO agrees to ensure that the mobile number for TRUST facility and SMS alert (SMART) facility is the same. The BO agrees that if he is not registered for SMART, the DP shall register him for SMART and TRUST. If the mobile number provided for TRUST is different from the mobile number recorded for SMART, the new mobile number would be updated for SMART as well as TRUST.
 9. BOs are advised to check the status of their obligation from time to time and also advise the respective CMs to do so. In case of any issues, the BO/CM should approach their DPs to ensure that the obligation is fulfilled through any other mode of delivery of transactions as may be informed / made available by CDSL from time to time including submission of Delivery Instruction Slips to the DP .
 10. The BO acknowledges that CDSL will send the message for confirmation of a transaction to the BO only if the Clearing Member (registered by the BO for TRUST) enters the said transaction in CDSL system for execution through TRUST within prescribed time limit.

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11. The BO further acknowledges that the BO/CM shall not have any right to any claim against either the DP or Depository for losses, if any, incurred due to non receipt of response on the responsive SMS or receipt of such response after the prescribed time period. In the event of any dispute relating to the date and time of receipt of such response, CDSL's records shall be conclusive evidence and the Parties agree that CDSL's decision on the same shall be final and binding on both Parties.
 12. The BO may request for deregistration from TRUST at any time by giving a notice in writing to its DP or by any other mode as specified by Depository in its operating instructions. The same shall be effected after entry of such request by the DP in CDSL system if the request is received through the DP.
 13. Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.
 14. The BO expressly authorises Depository to disclose to the Service Provider or any other third party, such BO information as may be required by them to provide the services to the BO. Depository however, shall not be responsible and be held liable for any divulgence or leakage of confidential BO information by such Service Providers or any other third party.
 15. The BO takes the responsibility for the correctness of the information supplied by him to Depository through the use of the said Facility or through any other means such as electronic mail or written communication.
 16. The BO is solely responsible for ensuring that the mobile number is not misused and is kept safely and securely. The Depository will process requests originated from the registered Mobile as if submitted by the BO and Depository is not responsible for any claim made by the BO informing that the same was not originated by him.
 17. Indemnity:
In consideration of providing the service, the BO agrees that the depository shall not be liable to indemnify the BO towards any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.
 18. Disclaimer:
Depository shall be absolved of any liability in case:-
 - a. There is loss of any information during processing or transmission or any unauthorized access by any other person or breach of confidentiality.
 - b. There is any lapse or failure on the part of the service providers or any third party affecting the said Facility and that Depository makes no warranty as to the quality of the service provided by any such service provider.
 - c. There is breach of confidentiality or security of the messages whether personal or otherwise transmitted through the Facility. .

Additional KYC Form for Opening a Demat Account

For Individuals

Depository Participant Name/Address
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(To be filled by the Depository Participant)

Application No.	Date	D	D	M	M	Y	Y	Y	Y
DP Internal Reference No.									
DP ID	Client ID								

(To be filled by the applicant in **BLOCK LETTERS** in English)

I/We request you to open a demat account in my/ our name as per following details:-

Holders Details

Sole / First Holder's Name	PAN																		
	<u>UID</u>																		
Second Holder's Name	PAN																		
	<u>UID</u>																		
Third Holder's Name	PAN																		
	<u>UID</u>																		

Name *	_____
* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.	

Type of Account (Please tick whichever is applicable)

Status	Sub – Status	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)	<input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others(specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI – Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify)_____	

Details of Guardian (in case the account holder is minor)

Guardian's Name	_____	PAN	_____
Relationship with the applicant	_____		
I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be `Yes')	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No		
<u>I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be `No')</u>	<input type="checkbox"/> Yes <input type="checkbox"/> No		
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly		
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID _____	<input type="checkbox"/> Yes <input type="checkbox"/> No		
<u>I/ We would like to share the email ID with the RTA</u>	<input type="checkbox"/> Yes <input type="checkbox"/> No		
<u>I / We would like to receive the Annual Report</u>	<input type="checkbox"/> Physical / <input type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic (Tick the applicable box. If not marked the default option would be in Physical)		

Do you wish to receive dividend / interest directly in to your bank account given below through ECS? (If not marked, the default option would be `Yes') [ECS is mandatory for locations notified by SEBI from time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No
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Bank Details [Dividend Bank Details]

Bank Code (9 digit MICR code)										
IFS Code (11 character)										
Account number										
Account type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (specify) _____									
Bank Name										
Branch Name										
Bank Branch Address										
City		State		Country		PIN code				

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.
 - In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	MOBILE NO. +91 _____ [(Mandatory , if you are giving Power of Attorney (POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).									
Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure – 2.6	<p>I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: center;">Stock Exchange Name/ID</th> <th style="text-align: center;">Clearing Member Name</th> <th style="text-align: center;">Clearing Member ID (Optional)</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)						
Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)								
<i>Easi</i>	To register for <i>easi</i> , please visit our website www.cdslindia.com . <i>Easi</i> allows a BO to view his ISIN balances, transactions and value of the portfolio online.									

Nomination Details

Nomination Registration No.	Dated

I /We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that:

- I/We do not wish to nominate any one for this demat account.**
- I/We **nominate** the following person who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of my / our death.

Full Name of the Nominee										
Address										
City		State								
Country		PIN code								
Telephone No.		Fax No.								
PAN		UID								
E-mail ID										
Relationship with BO (If any)										
Date of birth (mandatory if nominee is a minor)										

As the nominee is a minor as on date, to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder / all Joint holders, I/We appoint following person to act as **Guardian**:

Full name of Guardian of Nominee							
Address							
City				State			
Country				PIN			
Telephone No.				Fax No.			
E-mail ID							
Relationship of Guardian with Nominee							

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

Note : Two witnesses shall attest signature(s) / thumb impression(s)

Details of the Witness		
	First Witness	Second Witness
Name of witness		
Address of witness		
Signature of witness		

I/We have read the terms & conditions DP-BO agreement and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name			
Signatures			

(Signatures should be preferably in black ink).

Acknowledgement Receipt

Application No.:

Date:

We hereby acknowledge the receipt of the Account Opening Application Form:

Name of the Sole / First Holder	
Name of Second Holder	
Name of Third Holder	

Depository Participant Seal and Signature

===== (Please Tear Here) =====

For Non-individuals

Depository Participant Name / Address / DP ID

(To be filled by the Depository Participant)

Application No.		Date	D	D	M	M	Y	Y	Y	Y
DP Internal Reference No.										
DP ID		Client ID								

(To be filled by the applicant in **BLOCK LETTERS** in English)

I/We request you to open a demat account in my/ our name as per following details :-

Holders Details

Sole / First Holder's Name		Search Name		PAN														
Second Holder's Name				PAN														
Third Holder's Name				PAN														

Name *	_____
* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.	

Type of Account (Please tick whichever is applicable)											
Status										Sub – Status	
<input type="checkbox"/> Body Corporate <input type="checkbox"/> Banks <input type="checkbox"/> Trust <input type="checkbox"/> Mutual Fund <input type="checkbox"/> OCB <input type="checkbox"/> FII <input type="checkbox"/> CM <input type="checkbox"/> FI <input type="checkbox"/> Clearing House <input type="checkbox"/> Other (Specify)										To be filled by the DP	
SEBI Registration No. (If Applicable)		SEBI Registration date	D	D	M	M	Y	Y	Y	Y	
RBI Registration No. (If Applicable)		RBI Approval date	D	D	M	M	Y	Y	Y	Y	
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify) _____										

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be `Yes')		[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
<u>I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end (If not marked, the default option would be `No')</u>		<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly	
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID _____	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<u>I / We would like to share the email ID with the RTA</u>		<input type="checkbox"/> Yes <input type="checkbox"/> No
<u>I / We would like to receive the Annual Report</u> <input type="checkbox"/> Physical / <input type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic (Tick the applicable box. If not marked the default option would be Physical)		

Do you wish to receive dividend / interest directly in to your bank account given below through ECS? (If not marked, the default option would be `Yes')	<input type="checkbox"/> Yes <input type="checkbox"/> No
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Clearing Member Details (To be filled by CMs only)

Name of Stock Exchange			
Name of CC / CH			
Clearing Member Id		Trading member ID	

Do you wish to receive dividend / interest directly in to your bank account given below through ECS? (if not marked, the default option would be `Yes') [ECS is mandatory for locations notified by SEBI from time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No
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Bank Details [Dividend Bank Details]

Bank Code (9 digit MICR code)									
IFS Code (11 character)									
Account number									
Account type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (specify) _____								
Bank Name									
Branch									
Bank Address									
City		State		Country		PIN			

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.
 - In case of option (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

<p>SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4</p>	MOBILE NO. +91 _____ [(Mandatory , if you are giving Power of Attorney / POA)] (if POA is not granted & you do not wish to avail of this facility, cancel this option).									
	<p><u>I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same.</u></p> <p><input type="checkbox"/> <u>Yes</u></p> <p><input type="checkbox"/> <u>No</u></p> <p><u>I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST</u></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: center;"><u>Stock Exchange Name/ID</u></th> <th style="text-align: center;"><u>Clearing Member Name</u></th> <th style="text-align: center;"><u>Clearing Member ID (Optional)</u></th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>	<u>Stock Exchange Name/ID</u>	<u>Clearing Member Name</u>	<u>Clearing Member ID (Optional)</u>						
<u>Stock Exchange Name/ID</u>	<u>Clearing Member Name</u>	<u>Clearing Member ID (Optional)</u>								
<p>Transactions Using Secured Texting Facility (TRUST) <u>Refer to Terms and Conditions Annexure 2.6</u></p>	To register for <i>easi</i> , please visit our website www.cdslindia.com . <i>Easi</i> allows a BO to view his ISIN balances, transactions and value of the portfolio online.									

I/We have read the DP-BO agreement (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	Sole / First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Designation			
Signature			

(In case of more authorised signatories, please add annexure)

(Signatures should be preferably in black ink).

Acknowledgement Receipt

Application No.:

Date:

We hereby acknowledge the receipt of the Account Opening Application Form from: -

Name of the Sole / First Holder	
Name of the Second Holder	
Name of the Third Holder	

Depository Participant Seal and Signature

Nomination Form

**To,
The Depository Participant Name
Address**

Dear Sir/ Madam,

I/We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that:

- I/We do not wish to nominate any one for this demat account.
[Strike out what is not applicable.] [Signatures of all account holders should be obtained on this form].
- I/We **nominate** the following person who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of the death of the Sole holder or the death of all the Joint Holders.

BO Account Details														
DP ID													Client ID	
Name of the Sole / First Holder														
Name of Second Holder														
Name of Third Holder														

Nominee details			
First Name			
Middle Name			
Last Name			
Address			
City		State	
Country		PIN	_ _ _ _ _ _ _ _ _
Telephone No.		Fax No.	_ _ _ _ _ _ _ _ _
PAN		UID	_ _ _ _ _ _ _ _ _
E-mail ID			
Relationship with BO (If any)			
Date of birth (If nominee is a minor)			

As the nominee is a minor as on date, I/We appoint following person to act as **Guardian**:

First name			
Middle name			
Last name			
Address			
City		State	
Country		PIN	_ _ _ _ _ _ _ _ _
Age			

to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder / all Joint holders.

This nomination shall supersede any prior nomination made by me / us and also any testamentary document executed by me / us.

Place: _____ Date: _____

	First/Sole Holder	Second Holder	Third Holder
Name			
Signature			

Note: Two witnesses shall attest signature(s) / Thumb impression(s).

Details of the Witness		
	First Witness	Second Witness
Names of Witness		
Address of witness		
Signature of Witness		

(To be filled by DP)

Nomination Form accepted and registered wide Registration No. _____ dated _____.

For Depository Participant
(Authorised Signatory)

===== (Please Tear here) =====

Acknowledgement Receipt

Received nomination form from :

DP ID										Client ID									
Name																			
Address																			
Nomination in favor of																			
<u>No Nomination</u>	<input type="checkbox"/> Does not wish to nominate																		
Registration No.											Registered on	D	D	M	M	Y	Y	Y	Y

Depository Participant Seal and Signature